



PATENT  
Docket No.: 09163-20901

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s)** : Allen et al.  
**Serial No.** : 09/929,886 **Group Art Unit** : 3692  
**Filed** : August 15, 2001 **Examiner** : Chencinski  
**For** : *METHOD AND SYSTEM FOR AUTOMATIC EXECUTION OF A  
SECURITIES TRANSACTION*

**EXPRESS MAIL CERTIFICATE**

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Respectfully submitted,  
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